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TRANSMITTAL FORM

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Total Number of Pages in This Submission

9

Application Number

10/700,078

Filing Date

November 3, 2003

First Named Inventor

Bridgewater et al.

Art Unit

1714

Examiner Name

Vickey M. Ronesi

Attorney Docket Number

A01463

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

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Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s) _____

☐ Landscape Table on CD

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After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

Supplemental Information Disclosure
Statement

Form 1449

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Robm and Haas Company

Signature

Printed name

Robert W. Stevenson

Date

6/24/05

Reg. No.

31,064

CERTIFICATE OF TRANSMISSION/MAILING

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6-24-05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No.: A01463

In re Application of:
Bridgewater et al.

Serial No.: 10/700,078

Group Art Unit: 1714

Filed: November 3, 2003

Examiner: Vickey M. Ronesi

For: Aqueous Coating Composition

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with applicant(s)' duty of disclosure under 37 C.F.R. § 1.56(a) and pursuant to 37 C.F.R. § 1.97 - 1.98, Applicant(s) submit(s) herewith copies of the documents listed on the enclosed Form, PTO-1449 "List of Art Cited by Applicant." Inclusion of a document in this Supplemental Information Disclosure Statement is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 C.F.R. § 1.56(a) exists. The Examiner may find the enclosed documents relevant to the invention of the present application.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed on the enclosed Form, PTO-1449, is included herewith. The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 18-1850 any overpayments or deficiencies related to the filing of this paper.

Respectfully submitted,

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Sheet 1 of 1

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. A01463	Serial No. 10/700,078
Applicant Bridgewater et al.	
Filing Date 11/3/03	Group 1714

U.S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	6,638,998	10/28/2003	Zhao et al.		
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL					
	AM					
	AN					
	AO					
	AP					

OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

	AR	
	AS	
	AT	

Examiner

Date Considered

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.